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(0(5,786)\$&8/7< 35,9,/(*(6

1XPEHU

'DWH)HEUXDU\

3XUSRVH (PHULWXV IDFXOW\ PHPEHU PD\ FRQWLQXH VFKRODUVKLS DQG FUHDWLYH DFWLYLWL
7KLV SROLF\ HVWDEOLG VSHLYLQJ WKH HPWHQJHG W
)DFXOW\

3ROLF\ :KHQ IDFXOW\ UHWLUH WKH %RDUGI \$ODUJPDHHV
PD\ DSSURYH WKH GHVLUJLQJ WLRQKHVH (PHPEHU\ D
YDOXHG PHPEHU RI WKH 8\$QGF RPKLXQSR OLLFKMWW D
DQG SULYLOHJHV JUDQWHG WR WKHP

3URFHGXUHV

2IILFH DQG :RUN 6SDFH

\$Q HPHULWXV IDFXOW\ PHPEHU PD\ FRQWLQXH VFKRODUVKLS DQG FUHDWLYH DFWLYLWL
(PHULWXV IDFXOW\ ZKRR KDYH DFB JREHG SDUW W
DQG RU ZKR KDYH DFWLQJ WFRQVWUXFWV DGP
WKURXJK 8\$+ ZLOO KDYH SULRULW\ IROQV SDFH
RU VKRUWO\ WKHUIB KOWU ZLPHU ERWDFXOW\ RI
RIILFH WR WKHLU RIRHEHLW\$XOVID EXHNSDWBWJ DFXO
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\$Q HPHULWXV IDFXOW\ DQEPWKH 9LQJHJ3UGH VLOGH
5HVHDFK DQG (FRQRPLF '8YHROULS/P\ IRULRODERU
RU UHVHDFK VSDFH ZLOO EH JLYHQ ZKHQY
VXSSRUWHG RQ WKH 8\$+DQWVLRQWDFWV R
DFWLYHO\ VXSHUYLVHG E\ HPHULWXV IDFXOW\

3ROLF\

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3. \$FFRXQWV)RU PRUH LQIRUPDWLRQ WUHGDWUHWWRD FRFX
6HSDUDWLRQ 3ROLF\

3DUNLQJ (PHULWXV IDFXOW\ PD\ FRQWYLDXHGWS DSDG
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2IILFH

(GXFDWLRQDO %HQHILWV

(GXFDWLRQDO SVURLWDDQEFHQGKHWREUROO HPHU
IDFXOW\ DQG WKHLU HOLJLEOH GHSHLGHGHWV
GHSHQGHQWV RI GULFWXOWHDFNDW\

&RUUHVSRRQGHQFH

(PHULWXV IDFXOW\ FRQWLQXH WR UHFFDLYE HP
DGGUHV V DQG ZLOO ERQOLQMHGLUQFVDFWV ,I D
HPHULWXV IDFXOW\ ZLOO EH OLVWHGVRQ GHS
XQOHVV WKH HPHU EWXWUHTFXHOMVPWRDQGIPDLO
OLVWLQJV RQ ZHE VLWHV EH WHUPLQDWHG

8QLYHUVLW\ *RYHUQDQFH

8SRQ UHWLUHPHQWWHPHULWXXLMDKFXWKHLU IDP
ULJKWV \$V GLVWLQJRLVWKG PFFDEGHUPLF FRPP
HPHULWXV IDFXOW\ PD\ RQ RFFDVLRQ
SURLYLGH LQSXW UHJDFXGLLQJFXODLYHDUMLFXOW\
PDWWHU RU VWUOLQJL FZLSOKQWK HPHUHLVXVWL
IDFXOW\ PD\ EH LQDYSVUHGSELDWKH XQLYHUVLW\
SDUWLFLSDWH LQ WKH ZRUN RI GHSDUWPHHQWDC
LI DSSURYHG E\ WKH \$FDGHPLF 'HDQ 7KH DS
UHYLHZHG DQQXDOO\

(PHULWXV IDFXOWSDGRHQLQWSDMWWLFLDQFVKDUHC
RU LQ FRPPLWVHHVQJDDQKHLUHPHQLYHUVLW\
GHSDUWPHQW VKDUHG JDUHUQDQFXVPHQWWRUM
HPHULWXV IDFXOWSDGRHQLQWSDMWWLFLHVV RU
DVRFLDWHG ZLWK ILVFDQ FXUULFXODV DQG
LQFOXGLQJ EXW QRW OHPQXWHG WQCKSDVPRWLR
LV WKH UHVSRRQVLELQW\ RI WKH 'HSDUWPHQW
HQVXUH WKDW WKSURYLRYLROQDDUHFH IROORZHG

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&XOWXUDO 5 ~~BEQGHDKROD~~PSXV (YHQWV

(PHULWXV IDFXOW\ ~~GHSHGGHCKW~~UTXDOLI\ IRU C
PHPEHUVKLS DW WKH 8QLYHUVLW\)LWQHVV &H

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UHFUHDWLRQDO ~~DQG 3DSNLU~~UQDIQYHQWV\ IHHV
ZRXOG EH WKH FDVH IRU UHJXODU IDFXOW\

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(PHULWXV IDFXOW\ ~~FDHFRQW~~BQXMHWRILWV /LEUD
VHUULFHV FRQVLSWHQWZLWHPWQWHD FXOW\ DS

5HYLHZ

\$FDGHPLF \$IIDLUV ZLOO UHYLHZ WR ~~EQSUR~~OVF\ HY
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